Limited PCORI Funding Announcement: Dissemination and Implementation of PCORI-Funded Patient-Centered Outcomes Research Results

Town Hall

Cycle 3 2017

October 12, 2017

Agenda

I. **Welcome**

II. **Background Information**

III. **Limited Competition PFA Overview**

IV. **Program Requirements**

V. **Important Changes to PFA**

VI. **Applicant Resources**

VII. **Questions**

Submitting Questions:

Submit questions via the Q+A box during the webinar

Ask a question via phone at the end of the webinar

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Today's Presenters

Joanna Siegel
Program Director
D&I Program

Chris Gayer
Senior Program Officer
D&I Program

Arielle Gorstein
Program Associate
D&I Program

Welcome to the D&I PFA Town Hall

Joanna Siegel, ScD
Director, Dissemination & Implementation

Key Information

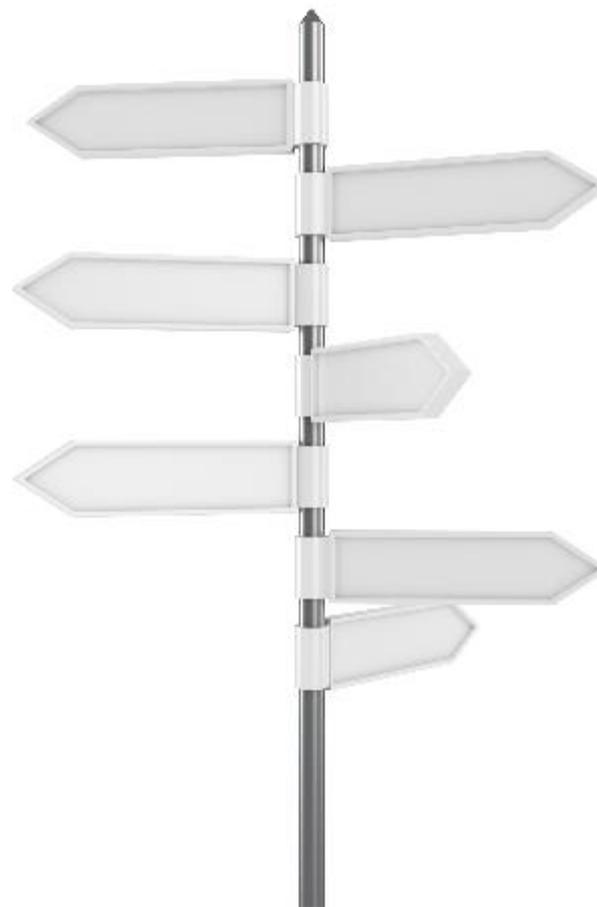| Key Information	
Full Announcement: Dissemination and Implementation of PCORI-funded Patient-Centered Outcomes Research Results	**Purpose:** Offer PCORI awardee teams an opportunity to propose *investigator-initiated* strategies for disseminating and implementing their research results.
Eligibility: Current awardee; draft final research report *accepted* for entry into peer review process OR manuscript accepted for publication by peer-reviewed journal	**Funding Level:** $350,000 total direct costs. Greater budget levels may be considered with appropriate justification.
Letters of Intent: Competitive	**Project Period:** 2 years. Longer projects may be considered with appropriate justification.
First Awards Announced: Dec 2016	**Funding Cycles Per Year:** 3

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The Need for PCORI's Work

- Traditional healthcare research has not answered many of the questions patients face.

- People want to know which preventive, diagnostic, or treatment option is best for them.

- Patients and their clinicians need information they can understand and use.

Our Mission

PCORI helps people make informed healthcare decisions, and improves healthcare delivery and outcomes, by producing and promoting evidence from research guided by patients, caregivers, and the broader healthcare community.

Our Mandate

"The purpose of the Institute is to **assist patients, clinicians, purchasers, and policy-makers in making informed health decisions** by advancing the quality and relevance of evidence concerning the manner in which diseases, disorders, and other health conditions can effectively and appropriately be prevented, diagnosed, treated, monitored, and managed **through research and evidence synthesis...**

... and the dissemination of research findings with respect to the relative health outcomes, clinical effectiveness, and appropriateness of the medical treatments, services..."

--from PCORI's authorizing legislation

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PCORI Dissemination and Implementation Program

What is the goal of the D&I Program?

- The D&I Program is charged with heightening awareness of the results of PCORI-funded research, and with advancing efforts to put these findings into practice to improve healthcare delivery and health outcomes.

- Dissemination and implementation of PCORI-Funded Patient-Centered Outcomes Research Results

Limited Competition PFA Overview: Programmatic Requirements

Arielle Gorstein, MPH
Program Associate, Dissemination & Implementation

PFA Overview

Objective of this PFA is to give PCORI awardee teams an opportunity to:

- Propose **investigator-initiated strategies** for disseminating and implementing findings from their PCORI funded studies in the context of existing evidence

- Undertake **the next step(s)** for making their research results more useful, actionable, and accessible to targeted end users

- To promote and facilitate the effective and timely **use of research evidence in the real world**

Important Considerations for D&I Projects

- **We fund projects that:**

  - Disseminate/implement PCORI findings that have **real potential** to affect healthcare and health outcomes in the short or long term

  - Disseminate/implement PCORI findings through **active** approaches to appropriate target populations

  - Draw upon **principles of effective implementation**, as described in established dissemination and implementation models and frameworks

  - **Actively engage** those required to accomplish the project successfully (patients, providers, representatives of the host delivery system, or others who are critical to the success of the proposed project)

  - **Evaluate** the success of the dissemination and implementation strategy

Supported D&I Project Objectives

- **Develop and demonstrate approaches** for incorporating PCORI research results in specific decision-making settings

- **Adapt** the content, format, or vehicle for delivering PCORI findings for different populations and/or across different settings

- Take programs and products found effective **to scale** in diverse settings and populations

- **De-implement** or reduce the use of interventions that are not evidence-based, have been prematurely adopted, or are harmful or wasteful

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Activities We Do Not Fund

We do not fund projects that propose to:

- **Develop or validate a new tool** or system for patients or clinicians *unless* it has the primary purpose of disseminating or implementing evidence in the proposed project

- Translate or adapt a finding **without** actively disseminating or implementing it

- Focus on a **passive dissemination plan** (publications or presentations to heterogeneous audiences)

- Disseminate and/or implement findings that are not associated with a PCORI-funded **comparative effectiveness or methods study**

Merit Review

- Criterion 1. Importance of research results in the context of the existing body of evidence

- Criterion 2. Readiness of the research results for dissemination

- Criterion 3. Technical merit of the proposed dissemination or implementation project (project design, outcomes, and evaluation)

- Criterion 4. Project personnel and environment

- Criterion 5. Patient-centeredness

- Criterion 6. Patient and stakeholder engagement

Limited Competition PFA Overview:

Administrative Requirements

Eligibility

- **_Personnel_**:

  - The proposed PI's must either be the PI of the original PCORI-funded project, or if not, then the PI of the current application must include a letter of support from the original PCORI-funded research project PI

- **_PCORI Research Awardees:_**

  - Applications associated solely with PCORI Engagement Awards and Pipeline to Proposal Awards <u>are not eligible</u>

- **_Evidence:_**

  - Only projects proposing to disseminate or implement findings from PCORI CER studies that a) tested a research hypothesis and b) evaluated comparative clinical effectiveness of two or more comparators will be responsive to this announcement. (Note: These requirements do not apply to methods studies.)

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Eligibility

- ***Timing* (NEW)**:

  - Applicants must propose to implement PCORI results that are **available at the time of the application due date**. This requirement can be met in two ways:

    - (1) a draft final research report (DFRR) pertaining to the original PCORI research award must have been accepted for entry into the peer review process by PCORI; or

    - (2) a manuscript reporting the PCORI results being proposed for implementation must have been formally accepted for publication by a peer-reviewed scientific journal before the application due date for this announcement.

  - Applicants **may** submit the mandatory LOI prior to the acceptance of their DFRR for Peer Review or prior to the acceptance of a manuscript by a peer-reviewed journal.

Complete a <u>Mandatory</u> Letter of Intent (LOI)

- LOIs are **competitive**; only applicants whose LOIs are deemed most responsive to this limited PFA will be invited to submit a full application

- LOIs are a **mandatory prerequisite** for submission of a full application.

  - Applicants who fail to submit a letter of intent will not be permitted to submit a full application to the corresponding award cycle.

- LOIs **will be screened** by a minimum of two PCORI staff for responsiveness, to ensure compliance with administrative guidelines, and to determine whether the applicant should be invited to submit a full application.

  - Nonresponsive LOIs and those not adhering to administrative guidelines will not be invited to submit a full application.

<u>3 page limit (does not include references)</u>

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Greater Than Budget/Time Requests

- Greater Than Budget/Time Requests should be used for requesting funding for a project with a **budget that exceeds the PFA limits** or for a project that **exceeds the PFA time limit**

- Intention to submit Greater Than request must be indicated at the time of LOI submission

  - Justification is required

- If applicant is invited to submit a request, he/she may submit the Greater Than Budget/Time Request form as an attachment to the application

- Collaborative applicants are encouraged to request extra funds or time, as appropriate and commensurate to the scope of the proposed project.

Collaborations

- Projects involving collaboration to disseminate the results of eligible studies.

- Must involve the partnership of two or more PCORI-funded investigators partnering to disseminate the collective results of two or more eligible studies on a single/closely related condition, population, decision dilemma, or evidence gap

- Use of Greater Than Budget/Time Request is encouraged for collaborative projects

- Must have demonstrated support from PI of each PCORI study being disseminated

Resubmissions

- Applicants have one opportunity for resubmission

- Applicants may not resubmit an application until they have received merit review feedback (summary statement) from the initial submission.

- All resubmitted applications require submission of a new LOI

- If invited to submit a full application, applicants must include a Resubmission Letter with their applications.

  – Resubmission Letter must address merit review and programmatic feedback received after the original submission

Applicant Resources

Chris Gayer, PhD
Senior Program Officer, Dissemination & Implementation

pcori

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Common Pitfalls

- Lack of detail regarding PCORI study findings to be disseminated

- Trying to do it all in one project

- Proposing to develop the dissemination plan as part of the dissemination project

- Focusing primarily on development, validation, testing of new tools and products

- No indication that key dissemination personnel have D&I experience

What Can I Do <u>Now</u> to Prepare?

1. Review the PFA and begin to develop a D&I plan with your research team and patient/stakeholder partners: https://www.pcori.org/funding-opportunities/announcement/limited-pcori-funding-announcement-dissemination-and-3

2. Review the PCORI draft final research reporting instructions; ask your Program Officer if you have any questions: http://www.pcori.org/sites/default/files/PCORI-Draft-Final-Research-Report-Instructions.pdf

3. Review PFA FAQs: https://help.pcori.org/hc/en-us/sections/115000554724-Dissemination-and-Implementation-Limited-PCORI-Funding-Announcement-

4. Email DisseminationQuestions@pcori.org to set up a call with a Program Officer from the D&I team

5. Check out the funded project pages (links on following slide)

Applicant Resources: Where Can I Find Help?

Visit pcori.org/apply, then click on the Limited PCORI Funding announcement link for:
- Application Guidelines
- FAQs
- PCORI Online User Manuals

For technical assistance
- PCORI Helpdesk: pfa@pcori.org

For programmatic assistance
- Disseminationquestions@pcori.org

Funded D&I Projects (for reference)
- http://bit.ly/2tJL1Ry

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Q&A

Ask a question via the chat function.

Ask a question via phone (raise your hand and we will unmute your line).

If we are unable to address your question during this time, e-mail the D&I program team at [disseminationquestions@pcori.org.](mailto:disseminationquestions@pcori.org)