Ancillary Information Conflicts of Interest Disclosure Form
Relating to PCORI-Funded Research Project

All fields are required. Contract Number: CER-1403-14292-IC

1. Name of Recipient (Awardee Institution):
   Jun J. Mao (Sloan Kettering Institute for Cancer Research)

2. Name of PCORI-Funded Research Project:
   CHOosing Options for Insomnia in Cancer Effectively (CHOICE): A
   Comparative Effectiveness Trial of Acupuncture and Cognitive Behavioral
   Therapy for Insomnia

3. Names and Institutions of Principal Investigator (PI) and Key Personnel:

<table>
<thead>
<tr>
<th>Name</th>
<th>Role</th>
<th>Recipient (Awardee Institution):</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jun J. Mao</td>
<td>Principal Investigator</td>
<td>Sloan Kettering Institute for Cancer Research</td>
</tr>
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<tr>
<th>Key Personnel Name:</th>
<th>Institution:</th>
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<tbody>
<tr>
<td>Sheila Garland</td>
<td>Memorial University of Newfoundland, Canada</td>
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<tr>
<td>Philip Gehrman</td>
<td>University of Pennsylvania</td>
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<td>Sharon Xie</td>
<td>University of Pennsylvania</td>
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<tr>
<td>Frances Barg</td>
<td>University of Pennsylvania</td>
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<tr>
<td>Katherine DuHamel</td>
<td>Sloan Kettering Institute for Cancer Research</td>
</tr>
<tr>
<td>Jodi MacLeod</td>
<td>Patient Investigator</td>
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</tbody>
</table>

4. Does Recipient have a Conflicts of Interest Policy or Guidelines that meets the requirements of the federal financial conflicts of interest regulations of the US Public Health Service (http://grants.nih.gov/grants/policy/coi/) that it applies to PCORI-funded research?

☑ YES  ☐ NO (See Question 5)

Ancillary information/COI Disclosure Research Project Form Revised July 24, 2017
5. If you checked “No,” Recipient must provide information describing how Recipient will ensure that the PCORI-Funded Research Project is not influenced by conflicts of interest.

N/A

6. Report the existence of any financial or personal interests or associations of Recipient, Principal Investigator, and Key Personnel related to the PCORI-Funded Research Project under this Contract that constitute a conflict of interest. Attach the management plan that addresses identified conflicts of interest.

Print “None” if Recipient, Principal Investigator, and Key Personnel have no financial or personal interests or associations that constitute a conflict of interest. (Attach additional documents, if needed).

NONE

7. Please list any direct or indirect links to industry (such as pharmaceutical, medical device, health insurance, and other healthcare-related companies) that Recipient has related to the PCORI-Funded Research Project.

Print “None” if there are no direct or indirect links to industry as described above. There is no need to include disclosures here that are reported under Question 6 above. (Attach additional documents, if needed).

NONE
8. If Recipient has any additional material information relating to disclosures or management of conflicts of interest, or other protections against bias pertinent to the PCORI-Funded Research Project, please describe it here. Print "None" if there is no additional material information as described above.

NONE

The undersigned certify that the above information is complete and true to the best of their knowledge and understand that this completed form, with these disclosures, will be made publicly available by PCORI in conjunction with the research findings relating to the Research Project. Both the Administrative Official and Principal Investigator must complete and sign one form.

Administrative Official: Jason St. Germain
Signed: [Signature]
Director
Grants & Contracts, ORPA
Print Name: Annmarie L. Pacchia, PhD
Title: Vice President – Office of Research and Project Administration
Date: 6/14/18

Principal Investigator:
Signed: [Signature]
Print Name: Jun J. Mao
Title: Chief, Integrative Medicine Service
Date: 6/14/18

Ancillary information/COI Disclosure Research Project Form Revised July 24, 2017
To Whom It May Concern:

In my absence, Jason St Germain, Director, Grants and Contracts will sign grants and related documents as an institutional official for Sloan-Kettering Institute for Cancer Research.

Annamarie L. Pacchia, PhD., Vice President
Office of Research & Project Administration