Ancillary Information Conflicts of Interest Disclosure Form Relating to PCORI-Funded Research Project

All fields are required. Contract Number: IHS-1306-02505

1. Name of Recipient (Awardee Institution):
   University of Arizona

2. Name of PCORI-Funded Research Project:
   Peer Driven Intervention as an alternate model of care delivery and coordination for sleep apnea

3. Names and Institutions of Principal Investigator (PI) and Key Personnel:

<table>
<thead>
<tr>
<th>Name</th>
<th>Role</th>
<th>Recipient (Awardee Institution):</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sairam Parthasarathy, MD</td>
<td>Principal Investigator</td>
<td>University of Arizona</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Key Personnel Name</th>
<th>Institution:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stefano Guerra, MD, PhD</td>
<td>University of Arizona</td>
</tr>
<tr>
<td>Stuart F. Quan, MD</td>
<td>University of Arizona and Harvard Medical School</td>
</tr>
<tr>
<td>Daniel Combs, MD</td>
<td>University of Arizona</td>
</tr>
<tr>
<td>Michael Grandner, PhD</td>
<td>University of Arizona</td>
</tr>
<tr>
<td>Patricia L. Haynes, PhD</td>
<td>University of Arizona</td>
</tr>
</tbody>
</table>

4. Does Recipient have a Conflicts of Interest Policy or Guidelines that meets the requirements of the federal financial conflicts of interest regulations of the US Public Health Service (http://grants.nih.gov/grants/policy/coi/) that it applies to PCORI-funded research?
   □ YES  ☑ NO (See Question 5)

5. If you checked “No,” Recipient must provide information describing how Recipient will ensure that the PCORI-Funded Research Project is not influenced by conflicts of interest.
6. Report the existence of any financial or personal interests or associations of Recipient, Principal Investigator, and Key Personnel related to the PCORI-Funded Research Project under this Contract that constitute a conflict of interest. Attach the management plan that addresses identified conflicts of interest.
Print “None” if Recipient, Principal Investigator, and Key Personnel have no financial or personal interests or associations that constitute a conflict of interest. (Attach additional documents, if needed).

None

7. Please list any direct or indirect links to industry (such as pharmaceutical, medical device, health insurance, and other healthcare-related companies) that Recipient has related to the PCORI-Funded Research Project.
Print “None” if there are no direct or indirect links to industry as described above. There is no need to include disclosures here that are reported under Question 6 above. (Attach additional documents, if needed).

Dr. Grandner has no direct or indirect links to industry in the area of sleep apnea or sleep disorders, or any other area associated with this study. In the past 36 months, Dr. Grandner has received funds from Bayer, Nexalin Technologies, Natrol, and NightFood for consulting on unrelated issues, and from SelfHelpWorks for assisting in the development of educational programs related to insomnia. The University's Conflict of Interest Program is not able to certify as to this item. The COI Program does not have access to this sort of information.
8. If Recipient has any additional material information relating to disclosures or management of conflicts of interest, or other protections against bias pertinent to the PCORI-Funded Research Project, please describe it here. Print “None” if there is no additional material information as described above.

None

The undersigned certify that the above information is complete and true to the best of their knowledge and understand that this completed form, with these disclosures, will be made publicly available by PCORI in conjunction with the research findings relating to the Research Project. Both the Administrative Official and Principal Investigator must complete and sign one form.

Administrative Official:
Signed: [Signature]

Print Name: Kimberly Andrews Espy, Ph.D.

Title: Senior Vice President for Research

Date: 10/17/2017

Principal Investigator:
Signed: [Signature]

Print Name: Sairam Parthasarathy, MD

Title: Professor of Medicine

Date: 10/17/2017

Ancillary information/COI Disclosure Research Project Form Revised July 24, 2017