

**Ancillary Information Conflicts of Interest  
Disclosure Form  
Relating to PCORI-Funded Research Project**

**All fields are required.**

Contract Number: CER-1310-06750

1. Name of Recipient (Awardee Institution):

Columbia University

---

2. Name of PCORI-Funded Research Project:

Comparative Effectiveness of Adaptive Pharmacotherapy Strategies for Schizophrenia

---

3. Names and Institutions of Principal Investigator (PI) and Key Personnel:

Name:	Role:	Recipient (Awardee Institution):
Scott Stroup	Principal Investigator	Columbia University

Key Personnel Name:	Institution:
Mark Olfson	Columbia
Tobias Gerhard	Rutgers
Steve Crystal	Rutgers
Chacku Mathai	Rochester Mental Health Association

4. Does Recipient have a Conflicts of Interest Policy or Guidelines that meets the requirements of the federal financial conflicts of interest regulations of the US Public Health Service (<http://grants.nih.gov/grants/policy/coi/>) that it applies to PCORI-funded research?

YES

NO (See Question 5)

5. If you checked "No," Recipient must provide information describing how Recipient will ensure that the PCORI-Funded Research Project is not influenced by conflicts of interest.
-

---

---

6. Report the existence of any financial or personal interests or associations of Recipient, Principal Investigator, and Key Personnel related to the PCORI-Funded Research Project under this Contract that constitute a conflict of interest. Attach the management plan that addresses identified conflicts of interest.

Print "None" if Recipient, Principal Investigator, and Key Personnel have no financial or personal interests or associations that constitute a conflict of interest. (Attach additional documents, if needed).

---

---

---

---

---

7. Please list any direct or indirect links to industry (such as pharmaceutical, medical device, health insurance, and other healthcare-related companies) that Recipient has related to the PCORI-Funded Research Project.

Print "None" if there are no direct or indirect links to industry as described above. There is no need to include disclosures here that are reported under Question 6 above. (Attach additional documents, if needed).

---

Scott Stroup was an investigator for Auspex (now Teva) for a study of deutetrabenazine, an investigational treatment for tardive dyskinesia.

---

Dr. Gerhard reports receipt of an honorarium from and participation on an advisory panel for Eli Lilly, receipt of a research grant from Bristol-Myers Squibb, receipt of an honorarium from and participation in an external safety review committee for Merck, and receipt of an honorarium from and service as an expert witness for Pfizer.

---

---

No other disclosures.

---

---

8. If Recipient has any additional material information relating to disclosures or management of conflicts of interest, or other protections against bias pertinent to the PCORI-Funded Research Project, please describe it here. Print "None" if there is no additional material information as described above.

None

---

---

---

---

The undersigned certify that the above information is complete and true to the best of their knowledge and understand that this completed form, with these disclosures, will be made publicly available by PCORI in conjunction with the research findings relating to the Research Project. Both the Administrative Official and Principal Investigator must complete and sign one form.

Administrative Official:

Signed: 

Print Name: Elba Suarez

Title: Senior Project Officer

Date: 5/16/18

Principal Investigator:

Signed: 

**Scott Stroup**

Digitally signed by Scott Stroup  
DN: cn=Scott Stroup, o, ou,  
email=Scott.Stroup@nyspi.columbia.edu, c=US  
Date: 2018.05.16 10:09:45 -04'00'

Print Name: Scott Stroup

Scott Stroup

Title: Professor of Psychiatry

Professor of Psychiatry

Date: 05/16/18

05/16/18