Ancillary Information Conflicts of Interest Disclosure Form Relating to PCORI-Funded Research Project

All fields are required.

Contract Number: IHS-1408-20393

1. Name of Recipient (Awardee Institution):
   Harvard Pilgrim Health Care Inc.

2. Name of PCORI-Funded Research Project:
   Impact of High Deductible Health Plans on Patients with Bipolar Disorder

3. Names and Institutions of Principal Investigator (PI) and Key Personnel:

<table>
<thead>
<tr>
<th>Name</th>
<th>Role</th>
<th>Recipient (Awardee Institution)</th>
</tr>
</thead>
<tbody>
<tr>
<td>James Frank Wharam</td>
<td>Principal Investigator</td>
<td>Harvard Pilgrim Health Care Inc.</td>
</tr>
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<tr>
<th>Key Personnel Name</th>
<th>Institution</th>
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<tbody>
<tr>
<td>Chris Lu</td>
<td>Harvard Pilgrim Health Care Inc.</td>
</tr>
<tr>
<td>Fang Zhang</td>
<td>Harvard Pilgrim Health Care Inc.</td>
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<tr>
<td>Dennis Ross-Degnan</td>
<td>Harvard Pilgrim Health Care Inc.</td>
</tr>
<tr>
<td>Alisa Busch</td>
<td>McLean Hospital</td>
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</tbody>
</table>

4. Does Recipient have a Conflicts of Interest Policy or Guidelines that meets the requirements of the federal financial conflicts of interest regulations of the US Public Health Service (http://grants.nih.gov/grants/policy/coi/) that it applies to PCORI-funded research?

   □ YES
   □ NO (See Question 5)

5. If you checked “No,” Recipient must provide information describing how Recipient will ensure that the PCORI-Funded Research Project is not influenced by conflicts of interest.
6. Report the existence of any financial or personal interests or associations of Recipient, Principal Investigator, and Key Personnel related to the PCORI-Funded Research Project under this Contract that constitute a conflict of interest. Attach the management plan that addresses identified conflicts of interest.

Print “None” if Recipient, Principal Investigator, and Key Personnel have no financial or personal interests or associations that constitute a conflict of interest. (Attach additional documents, if needed).

None

7. Please list any direct or indirect links to industry (such as pharmaceutical, medical device, health insurance, and other healthcare-related companies) that Recipient has related to the PCORI-Funded Research Project.

Print “None” if there are no direct or indirect links to industry as described above. There is no need to include disclosures here that are reported under Question 6 above. (Attach additional documents, if needed).

None
8. If Recipient has any additional material information relating to disclosures or management of conflicts of interest, or other protections against bias pertinent to the PCORI-Funded Research Project, please describe it here. Print “None” if there is no additional material information as described above.

None

The undersigned certify that the above information is complete and true to the best of their knowledge and understand that this completed form, with these disclosures, will be made publicly available by PCORI in conjunction with the research findings relating to the Research Project. Both the Administrative Official and Principal Investigator must complete and sign one form.

Administrative Official:

[Signature]

Print Name: Charlotte Johnson

Title: Director, Office of Sponsored Programs

Date: March 15, 2019

Principal Investigator:

[Signature]

Print Name: J. Frank Wharam

Title: Director, Division of Health Insurance and Policy Research

Date: March 7, 2019